



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office

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#3

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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09/449,159 11/24/99 ABBOTT 8 30074.26US11

022402 0212/0107  
GATES AND COOPER  
HOWARD HUGHES CENTER  
6701 CENTER DRIVE WEST SUITE 1050  
LOS ANGELES CA 90045

NOT ASSIGNED

DATE MAILED: 2766

01/07/00

NOTICE TO FILE MISSING PARTS OF APPLICATION  
Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a

☐ small entity (statement filed) ☒ non-small entity is \$2,678.00.

☒ 1. The statutory basic filing fee is:

- ☒ missing.  
☐ insufficient.

Applicant must submit \$760.00 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☐ 2. The following additional claims fees are due:

01/28/2000 Y6IZAW 00000030 500494 09449159

\$1,242.00 for 69 total claims over 20.

01 FC:205 65.00 OP

02 FC:203 621.00 OP

\$546.00 for 7 independent claims over 3.

03 FC:202 273.00 OP

04 FC:201 35.00 CH 345.00 OP

\$ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☒ is missing or unsigned.  
☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice **MUST** be returned with the reply.

*A. Review*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

61C Feb. 11, 2000 - MISS. PTS-17 go  
MAR. 1, 2000 - MISS. PTS-2 go  
APR. 11, 2000 - MISS. PTS-3 go  
MAY 11, 2000 - MISS. PTS-4 go  
JUN. 11, 2000 - MISS. PTS-5 go



#3 ~~#~~ Sector  
Due Date: March 7, 2000

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Shawn D. Abbott et al. Examiner: Not yet assigned  
Serial No.: 09/449,159 Group Art Unit: 2766  
Filed: November 24, 1999 Docket: 30074.26US11  
Title: USB-COMPLIANT PERSONAL KEY WITH INTEGRAL INPUT AND OUTPUT DEVICES

**CERTIFICATE OF MAILING OR TRANSMISSION UNDER 37 CFR 1.8**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on January 18, 2000.

By: Victor G. Cooper  
Name: Victor G. Cooper

BOX MISSING PARTS  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

We are transmitting herewith the attached:

- ☒ Transmittal sheet, in duplicate, containing a Certificate of Mailing under 37 CFR 1.8.
- ☒ Verified statement to establish small entity status.
- ☒ Signed Combined Declaration and Power of Attorney.
- ☒ Form PTO-1533 – Part 2 – Notice to File Missing Parts of Application.
- ☒ A check in the amount of \$65.00 for the Surcharge Fee (small entity) is enclosed herewith.
- ☒ A check in the amount of \$1,239.00 to cover the filing fee (see calculation chart below):
- ☒ Return postcard.

**CLAIMS AS FILED**

Number of Claims Filed	In Excess of:	Number Extra	Rate	Fee
<b>Basic Filing Fee</b>				\$345.00
<b>Total Claims</b>				
89	20	69	x \$9.00	= \$621.00
<b>Independent Claims</b>				
10	3	7	x \$39.00	= \$273.00
MULTIPLE DEPENDENT CLAIM FEE				\$0.00
TOTAL FILING FEE				<b>\$1,239.00</b>

Please consider this a **PETITION FOR EXTENSION OF TIME** for a sufficient number of months to enter these papers, if appropriate.

Please charge any additional fees or credit any overpayment to Deposit Account No. 50-0494 of Gates & Cooper. A duplicate of this paper is enclosed.

**CUSTOMER NO.: 22462**

**GATES & COOPER**  
Howard Hughes Center  
6701 Center Drive West, Suite 1050  
Los Angeles, CA 90045  
(310) 641-8797

By: Victor G. Cooper  
Name: Victor G. Cooper  
Reg. No.: 39,641  
VGC/amb

(PTO TRANSMITTAL - GENERAL)